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Form PTO-1449 (Modified) U.S. Department of Commerce Patent and Trademark Office

INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Atty. Docket No.	Serial No.
27600/X046A	10/755,743
Filing Date: 1/12/2004	Group Art Unit 2622
Sheet 1 of 4	Applicant(s): James L. Warmus et al.

U.S. PATENT DOCUMENTS

*Examiner Initials		Document Number	Issue Date	Name	Class	Subclass	Filing Date If Appropriate
TL	A207	3,549,055	12/22/1970	Gatland	222	182	
	A208	3,982,744	9/28/1976	Kraynak et al.	270	12	
	A209	4,085,455	4/18/1978	Okumura	365	112	
	A210	4,345,276	8/17/1982	Colomb	358	258	
	A211	4,417,630	11/29/1983	Weber et al.	177	1	
	A212	4,520,454	5/28/1985	Dufour et al.	364	900	
	A213	4,665,555	5/12/1987	Alker et al.	382	41	
	A214	4,710,886	12/1/1987	Heath	364	519	
	A215	4,723,209	2/2/1988	Hernandez et al.	364	519	
	A216	4,800,506	2/24/1989	Axelrod et al.	364	478	
	A217	4,928,243	5/22/1990	Hodges et al.	364	519	
	A218	4,949,272	8/14/1990	Vanourek et al.	364	464.02	
	A219	5,025,396	6/18/1991	Parks et al.	364	518	
	A220	5,053,955	10/1/1991	Peach et al.	364	401	
	A221	5,060,165	10/22/1991	Schumacher et al.	364	478	
	A222	5,077,694	12/31/1991	Sansone et al.	395	600	
	A223	5,085,470	2/4/1992	Peach et al.	283	58	
	A224	5,103,490	4/7/1992	McMillin	382	62	
	A225	5,134,669	7/28/1992	Keogh et al.	382	61	
	A226	5,142,482	8/25/1992	Sansone	364	478	
	A227	5,157,765	10/20/1992	Birk et al.	395	165	
	A228	5,175,679	12/29/1992	Allen et al.	364	148	
	A229	5,202,206	4/13/1993	Tam	430	41	

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TL	A230	5,220,420	6/15/1993	Hoarty et al.	358	86	
	A231	5,220,674	6/15/1993	Morgan et al.	395	800	
	A232	5,229,932	7/20/1993	Connell et al.	364	401	
	A233	5,238,345	8/24/1993	D'Andrea	412	4	
	A234	5,257,196	10/26/1993	Sansone	364	464.02	
	A235	5,287,976	2/22/1994	Mayer et al.	209	547	
	A236	5,291,243	3/1/1994	Heckman et al.	355	201	
	A237	5,313,578	5/17/1994	Handorf	395	200	
	A238	5,319,745	6/7/1994	Vinsonneau et al.	395	144	
	A239	5,321,604	6/14/1994	Peach et al.	364	401	
	A240	5,346,196	9/13/1994	Nussbaum et al.	270	54	
	A241	5,353,388	10/4/1994	Motayama	395	117	
	A242	5,379,368	1/3/1995	Imai et al.	395	117	
	A243	5,390,354	2/14/1995	De Heus et al.	395	800	
	A244	5,400,263	3/21/1995	Rohrbaugh et al.	364	490	
	A245	5,419,541	5/30/1995	Stevens	270	54	
	A246	5,446,653	8/29/1995	Miller et al.	364	401	
	A247	5,455,945	10/3/1995	Vanderdrift	395	600	
	A248	5,483,624	1/9/1996	Christopher et al.	395	117	
	A249	5,500,928	3/19/1996	Cook et al.	395	133	
	A250	5,502,636	3/26/1996	Clarke	364	401	
	A251	5,505,697	4/9/1996	McKinnon, Jr. et al.	604	71	
	A252	5,506,697	4/9/1996	Li et al.	358	448	
	A253	5,519,624	5/21/1996	Hidding	364	478	
	A254	5,523,942	6/4/1996	Tyler et al.	364	401	

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TL	A255	5,530,852	6/25/1996	Meske, Jr. et al.	395	600	
	A256	5,535,677	7/16/1996	Fannin et al.	101	486	
	A257	5,552,994	9/3/1996	Cannon et al.	364	468.01	
	A258	5,592,683	1/7/1997	Chen et al.	395	872	
	A259	5,832,531	11/3/1998	Ayers	707	500	
	A260	5,907,836	5/25/1999	Sumita et al.	707	2	
✓	A261	5,924,092	2/7/1997	Johnson	707	7	

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*Examiner Initials		Document Number	Publication Date	Country	Class	Subclass	Translation Yes	Translation No
TL	B7	WO 96/22573	7/25/1996	PCT				
	B8	EP 0 119 720	9/2/1984	EPO				
	B9	JP 8115178	5/7/1996	Japan			Abstract & Claims	
✓								

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TL	C151	"Enhanced Technique for Merging Data From a Second Document" IBM Technical Disclosure Bulletin, Vol. 30 No. 5, IBM Corp., pages 184-188 (10/87).
	C152	PODi, "Frequently Asked Questions about PPML The Personalized Markup Language" PPML Frequently Asked Questions (12/12/2000) (3 pages).
	C153	"Improved Technique for Printing Multi-copy Documents" IBM Technical Disclosure Bulletin, Vol. 29 No.1, IBM Corp., pages 406-407 (6/86).
	C154	"Indigo E-Print in 3 places-and in Spindler Speech" *Seybold San Francisco*, page 34 (10/23/1995).
	C155	"Method for Joining Documents for Printing in a Personal Computer System" IBM Technical Disclosure Bulletin, Vol. 29 No. 7, IBM Corp., pages 3090-3091 (12/86).
	C156	"Xeikon Personalizes with Private-1" Seybold Special Report, Vol. 4 No. 2, Page 3, (10/23/95).
	C157	"PPML Personalized Print Markup Language," Functional Specification Version 1.5" (5/31/2001).
	C158	"PPML Personalized Print Markup Language," Functional Specification Version 2.1" (7/31/2002).
✓	C159	"Redefining the Printed Page," Varis Corporation, Mason, OH, Vol. 4 (10/2/1997).

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AL	C160	"Variable Printing with Indigo" Seybold Special Report, Vol. 3, No. 8 pages, 63-64, (4/21/1995).
	C161	ANSI CGATS "Graphic Technology- Variable Printing Data Exchange Using PPML and PDF (PPML/VDX)" July 8, 2002
	C162	Introduction to the Personalized Print Markup Language: The PPML Family of XML Standards" PODi (Pages 1-8) (7/22/2003).
	C163	Kaufeld "Paradox 5 for Windows for Dummies," (1994)
	C164	Mizuno et al., "Document Recognition System with Layout Structure Generator," *NEC Research and Development*, Vol. 32, No. 3, Tokyo, Japan (Pages 430-437) (1991).
	C165	Rousseau et al., "Writing Documents for Paper and WWW; A Strategy based on FrameMaker and WebMaker," Computer Networks and ISDN Systems, Vol. 27 (Pages 205-214) (XP 000571730) (1994).
✓	C166	Simoudis, Evangelos "Reality Check for Data Mining." *IEEE Expert* (Pages 26-33) (1996).

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TL	A1	3,872,460	03/18/75	Fredrickson et al.	340	324 AD	
	A2	3,899,165	08/12/75	Abram et al.	270	54	
	A3	4,095,780	06/20/78	Gaspar et al.	270	73	
	A4	4,121,818	10/24/78	Riley et al.	270	54	
	A5	4,395,031	07/26/83	Gruber et al.	270	54	
	A6	4,426,072	01/17/84	Cole et al.	270	53	
	A7	4,495,582	01/22/85	Dessert et al.	364	469	
	A8	4,500,083	02/19/85	Wong	270	54	
	A9	4,536,176	08/20/85	Gaspar	493	365	
	A10	4,554,044	11/19/85	Gaspar et al.	156	510	
	A11	4,601,003	07/15/86	Yoneyama et al.	364	518	
	A12	4,672,462	06/09/87	Yamada	358	280	
	A13	4,674,052	06/16/87	Wong et al.	364	466	
	A14	4,718,784	01/12/88	Drisko	400	68	
	A15	4,727,402	02/23/88	Smith	355	14	
	A16	4,729,037	03/01/88	Doelves	358	299	
	A17	Re. 32,690	06/07/88	Wong	270	54	
	A18	4,768,766	09/06/88	Berger et al.	270	58	
	A19	4,789,147	12/06/88	Berger et al.	270	1.1	
	A20	4,827,315	05/02/89	Wolfberg et al.	346	160	
	A21	4,900,001	02/13/90	Lapeyre	270	1.1	
	A22	4,903,139	02/20/90	Minter	358	296	
	A23	4,910,612	03/20/90	Yamazaki	358	496	
	A24	4,928,252	05/22/90	Gabbe et al.	364	519	

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TL		A25	4,937,761	06/26/90	Hassett	364	518	
		A26	4,968,993	11/06/90	Wolfberg et al.	346	160	
		A27	4,974,171	11/27/90	Yeh et al.	364	519	
		A28	5,001,500	03/19/91	Wolfberg et al.	346	160	
		A29	5,021,975	06/04/91	Yamanashi	364	519	
		A30	5,043,749	08/27/91	Punater et al.	346	153.1	
		A31	5,067,024	11/19/91	Anzai	358	296	
		A32	5,105,283	04/14/92	Forest et al.	358	401	
		A33	5,112,179	05/12/92	Chan et al.	412	1	
		A34	5,133,051	07/21/92	Handley	395	148	
		A35	5,136,316	08/04/92	Punater et al.	346	153.1	
		A36	5,142,667	08/25/92	Dimperio et al.	395	115	
		A37	5,144,562	09/01/92	Stikkelorum et al.	364	478	
		A38	5,177,877	01/12/93	Duchesne et al.	34	51	
		A39	5,178,063	01/12/93	Wolfberg et al.	101	76	
		A40	5,194,899	03/16/93	Buchanan	355	244	
		A41	B1 4,968,993	07/13/93	Wolfberg et al.	346	160	
		A42	5,245,701	09/14/93	Matsumoto	395	129	
		A43	5,267,155	11/30/93	Buchanan et al.	364	419.14	
		A44	5,271,065	12/14/93	Rourke et al.	382	1	
		A45	5,274,567	12/28/93	Kallin et al.	364	478	
		A46	5,274,757	12/28/93	Miyoshi et al.	395	146	
		A47	5,289,569	02/22/94	Taniguchi	395	145	
		A48	5,295,236	03/15/94	Bjorge et al.	395	134	
		A49	5,299,310	03/29/94	Motoyama	395	165	
		A50	5,301,036	04/05/94	Barrett et al.	358	448	
		A51	5,313,564	05/17/94	Kafri et al.	395	101	
	/	A52	5,333,246	07/26/94	Nagasaka	395	133	

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TL	A53	5,349,648	09/20/94	Handley	395	148	
	A54	5,353,222	10/04/94	Takise et al.	364	419.17	
	A55	5,359,423	10/25/94	Loce	358	296	
	A56	5,359,432	10/25/94	Peltzer et al.	358	452	
	A57	5,379,373	01/03/95	Hayashi et al.	395	148	
	A58	5,384,886	01/24/95	Rourke	395	147	
	A59	5,396,321	03/07/95	McFarland *et al.*	355	313	
	A60	5,398,389	03/14/95	Rourke et al.	382	1	
	A61	5,412,566	05/02/95	Sawa	364	419.14	
	A62	5,422,992	6/95	Motoyama et al.	345	437	
	A63	5,459,826	10/17/95	Archibald	395	147	
	A64	5,473,741	12/95	Neufelder	707	516	
	A65	5,493,490	02/20/96	Johnson	364	401	
	A66	5,594,860	01/14/97	Gauthier	395	501	
	A67	5,630,028	05/13/97	DeMeo	395	110	
	A68	5,634,091	5/97	Sands et al.	395	117	
	A69	5,729,665	03/17/98	Gauthier	395	117	
	A70	5,740,338	4/98	Gauthier et al.	395	116	
	A71	5,745,121	4/98	Politis	345	433	
	A72	5,809,218	9/98	Kastenholz et al.	395	115	
	A73	5,937,153	8/99	Gauthier	395	117	
	A74	5,960,164	8/99	Dorfman et al.	395	110	
	A75	5,963,968	10/99	Warmus et al.	707	517	
	A76	5,987,461	11/99	Dreyer et al.	707	7	
✓	A77	6,064,397	5/2000	Herregods et al.	345	435	

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TL	B1	WO 86/04703	08/14/86	PCT				
	B2	0 602 547	06/22/94	EPO	15	20		
	B3	WO 95 07510	03/16/95	PCT	17	24		
	B4	EP 0 703 524 A1	03/27/96	EPO				
	B5	EP 0 703 524 B1	01/08/97	EPO				

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TL	C1	ULTIMATE Technographics, Inc. Manual, "Poststrip," 1989.
	C2	G. Dieckmann, "Press Imposition of IBM 4250, General Information," R.R. Donnelley & Sons Company, Crawfordsville Manufacturing Division, Department CHT, February 21, 1989.
	C3	Alpha Four, New Version 3, "True Relational Database Power Without Programming, Reference Manual," Alpha Software Corporation, Lesson 20: Using Sets and Lesson 22: Advanced Sets: One-to-Many Links, 1993.
	C4	H. Sharples, "Software Automates Impositions; Prepress Imaging," Vol. 65, No. 9, p. 67, Graphic Arts Monthly (September, 1993).
	C5	"Linotype-Hell's Herkules: Fast, Versatile Drum Imager to Head IPEX lineup; Product Announcement," Vol. 23, No. 1, p. 3, The Seybold Report on Publishing Systems (September 1, 1993).
	C6	"Aldus to Offer Presswise 2.0 Imposition Software for Large-Format Presses," PR Newswire (September 7, 1993).
	C7	P. Dyson, "Computer to Plate: Now There's a Market; Direct-to-Plate Production Now Feasible; includes related article on the Eskofot Escosan 2540 for boards and films," Vol. 23, No. 4, p. 3, The Seybold Report on Publishing Systems (October 11, 1993).
	C8	"Imposition Cuts Stripping Time; Electronic Imposing System; Prepress Imaging," Vol. 65, No. 11, p. 84, Graphic Arts Monthly (November 1993).
	C9	S. Edwards et al., "IFRA *93 Review: An Industry Riding the Crest of a Slump; IFRA European Newspaper Equipment Exhibition; includes related article on trade show rumors," Vol. 23, No. 6, p. 3, The Seybold Report on Publishing Systems (November 8, 1993).
	C10	H. Sharples, "Electronic Imposition: Moving Forward," Vol. 66, No. 2, p. 53, Graphic Arts Monthly (February, 1994).
	C11	Barco Graphics brochure, "RIP and Electronic Collation System for Xeikon DCP-1 Digital Printing Press," February 2, 1994, with Addendum 1.
	C12	"Finding the Right Niches for Electronic Printing," The Seybold Report on Desktop Publishing, March 7, 1994, pp. 12-18.
	C13	"Aldus Prepress Division Ships Presswise 2.0 for the Apple MacIntosh," PR Newswire (March 22, 1994).

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TC	C14	"Press Imposition Software; Seybold Special Report: Seybold Seminars Boston *94, Part I; Product Announcement," Vol. 23, No. 15, p. S74, The Seybold Report on Publishing Systems (April 22, 1994).
	C15	"High-Resolution Output; includes related articles on how to obtain a Hyphen print sample and naming a new screening technology; Seybold Special Report: Seybold Seminars Boston *94, part I," Vol. 23, No. 15, p. S47, The Seybold Report on Publishing Systems (April 22, 1994).
	C16	P. Hilts, "Donnelley's Digital Production Vision; R.R. Donnelley and Sons invited book publishers to come to Crawfordsville to see the future of printing," Vol. 241, No. 34, p. 24, Publishers Weekly (August 22, 1994).
	C17	"Design Your Forms, Power with Room to Grow: Xbasic™," advertisement, DBMS Magazine (September, 1994).
	C18	Xeikon announcement, "Xeikon announces new RIP for the DCP-1 digital color press" (September 13, 1994).
	C19	A. Karsh, "Scitex's SGAUA Review: Savanna, GTO-DI Interface, Whisper Upgrade; Scitex Graphic Arts User Association, Scitex Savanna Typesetting System, Press Interface for GTO-DI, Autoflat Image Processing Software, MacCSS Connection Peripheral Server," Vol. 24, No. 2, p. 11, The Seybold Report on Publishing Systems (September 19, 1994).
	C19	"Color Shop Goes Electronic; Color Tech Corp.," Vol. 66, No. 10, p. 90, Graphic Arts Monthly (October, 1994).
	C20	"Xerox and Scenicsoft Bring New Flexibility to Prepress Work With Postscript Files," Vol. 7, No. 10, Worldwide Videotex (October, 1994).
	C21	"High-Resolution Output Devices; Seybold Special Report: Seybold San Francisco *94, Part 1; Product Announcement," Vol. 24, No. 3, p. S32, The Seybold Report on Publishing Systems (October 26, 1994).
	C22	"Output Servers; Seybold Special Report: Seybold San Francisco *94, part 2, Product Announcement," Vol. 24, No. 3, p. T13, The Seybold Report on Publishing Systems (October 26, 1994).
	C23	"CTP Field Gets a New Entry; Linotype-Hell's Computer-to-Plate System, Gutenborg," Vol. 66, No. 11, p. 102, Graphic Arts Monthly (November, 1994).
	C24	Xeikon brochure, "Beta Specifications, Xeikon DCP-1 Digital Color Press," date unknown.
	C25	European Search Report dated July 22, 1999.
	C26	*Seybold Report on Publishing Systems*, Volume 25, Number 22, ISSN: 0736-7260, August 26, 1996.
	C27	"Variable Postscript and the Coming of Age of Electronic Print-for-Profit," Print on Demand Business, May/June 1996, pages 64-67.
	C28	"Variable-Data Printing Comes of Age: Capabilities & Market Demand Converge," Seybold Report on Publishing Systems, Vol. 27, no. 2, Sept. 15, 1997, pp. 3-24.
	C29	"Indigo Variable Data Solution Evaluation," Interoffice Memorandum from R.R. Donnelley & Sons Company dated July 26, 1995 (portions redacted).
	C30	AGFA Internet Website: "Chromapress Software" (10/30/98), URL: www.agfahome.com/products/printing/software.html
	C31	AGFA Internet Website: "Digital Color Printing System: Personalizer-X v2.0, Variable Data Software for Chromapress" (10/30/98), URL: www.agfahome.com/products/printing/software/personalizerx.html

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